

# Corporate Risk Register



The corporate Risk Register provides information on the very high and high risks to the Boards. Assessing the likelihood and impact of each of those risks.

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## Introduction

Witham and Humber Drainage Boards (WHDB) assess and manage Corporate Risk for the Boards, using the Corporate Risk Register (CRR). The CRR is used to identify, analyse and prioritise those risks that may affect the 4 Boards' ability to deliver its Service and Strategic /Corporate Objectives.

A Matrix is used to assess the level of risk posed to the Board. Risks which remain **high or very high** after controls are recorded, managed and monitored via the Corporate Risk Register will have oversight at Senior Manager and the Strategy at Board levels. Those risks which pose a low or medium risk, are deemed to be 'business as usual' and the Director for that Service will be managing these risks as part of the day-to-day work activities with their team members.

Whilst the CRR will include those high and very high risks to the Board and members of our community, where a Director/ Senior Management Team determine by history, or horizon scanning there is a likelihood of the risk escalating, low or medium risks may be included on the risk register.

Risks may vary and may change due to external factors at any time, the Senior Management Team are cognisant of this and will escalate or reduce risk at any time, to ensure the most up to date Corporate Risks are clearly identified and controlled.

Witham and Humber Drainage Board 'risk appetite' is medium. The rationale is:

The Senior Management Team and employees are trained, competent and hold appropriate qualifications and experience to deliver our Services. Therefore, low and medium risks are, on a day-to-day basis, managed within each relevant team and Directorate.

Where risks are high/very high, as part of the CRR review consideration is given to determine the best course of action for the risk, and to ensure members are aware.

WHDB endeavour to put controls into place to stabilise or reduce the risk to an acceptable level. However, it is accepted that some risks may be outside the control of the Boards, e.g., where the risk is affected by external factors. Where this is the case, such risks continue to be monitored, reviewed and controls put into place to stabilise or reduce as far as possible. Some risks are controlled internally, but where the risk is outside of working practise, or the capabilities of officers, the risk is transferred, examples of this would be insurance provision where external brokers and insurance providers are used.

This CRR is for high level strategic risks to allow for ongoing management and consideration of high and very high-risk aspects of the 4 Boards' work. Where the day-to-day management of works is undertaken, a standard risk assessment processes is used to ensure the health, safety and welfare of out teams when completing work out of or in connection with the Boards' activities.

## Summary table of Risks

Ref	Strategic Objective	Risk
1	<b>Objective 1:</b> Provide, improve and maintain standards of sound, needs based sustainable land drainage, flood protection and water levels management.	Asset failure at the Board's Pumping Stations, of the Board maintained watercourses or the Board's plant and machinery
2		Risk of Operations failure due to lack of staffing or machinery resource, staff error or accident, failure of key supplier or contractor
3		Impact on IDB assets due to additional water flows from Main Rivers, breaches, overtopping and excessive seepage, obstructed flows/poor conveyance due to reduced maintenance by EA.
4		Reduction in income to the Board to maintain an appropriate provision of services (special levy, drainage rates income, highland water income, rechargeable works income & grants income)
5		Delivery of IDB fund (£91m) projects by 31st March 2026 deadline
	<b>Objective 2:</b> To conserve and enhance the environment wherever practical and possible, to ensure there is no net loss of biodiversity	Non-compliance with legislation.
8	<b>Objective 3:</b> To provide a safe and fulfilling working environment for all staff.	Issues with Staff Retention & Recruitment
11	<b>Objective 4:</b> To provide a cost effective, value for money organisation and maintain financial records that are correct and comply with recommended accounting practice.	Excessive Expenditure, beyond approved budgets, especially following wet weather events
12		Changes to insurance market and risk implications
	<b>Objective 5:</b> To ensure that all actions taken by each Board comply with current UK (and EU) legislation	Non-compliance with legislation.

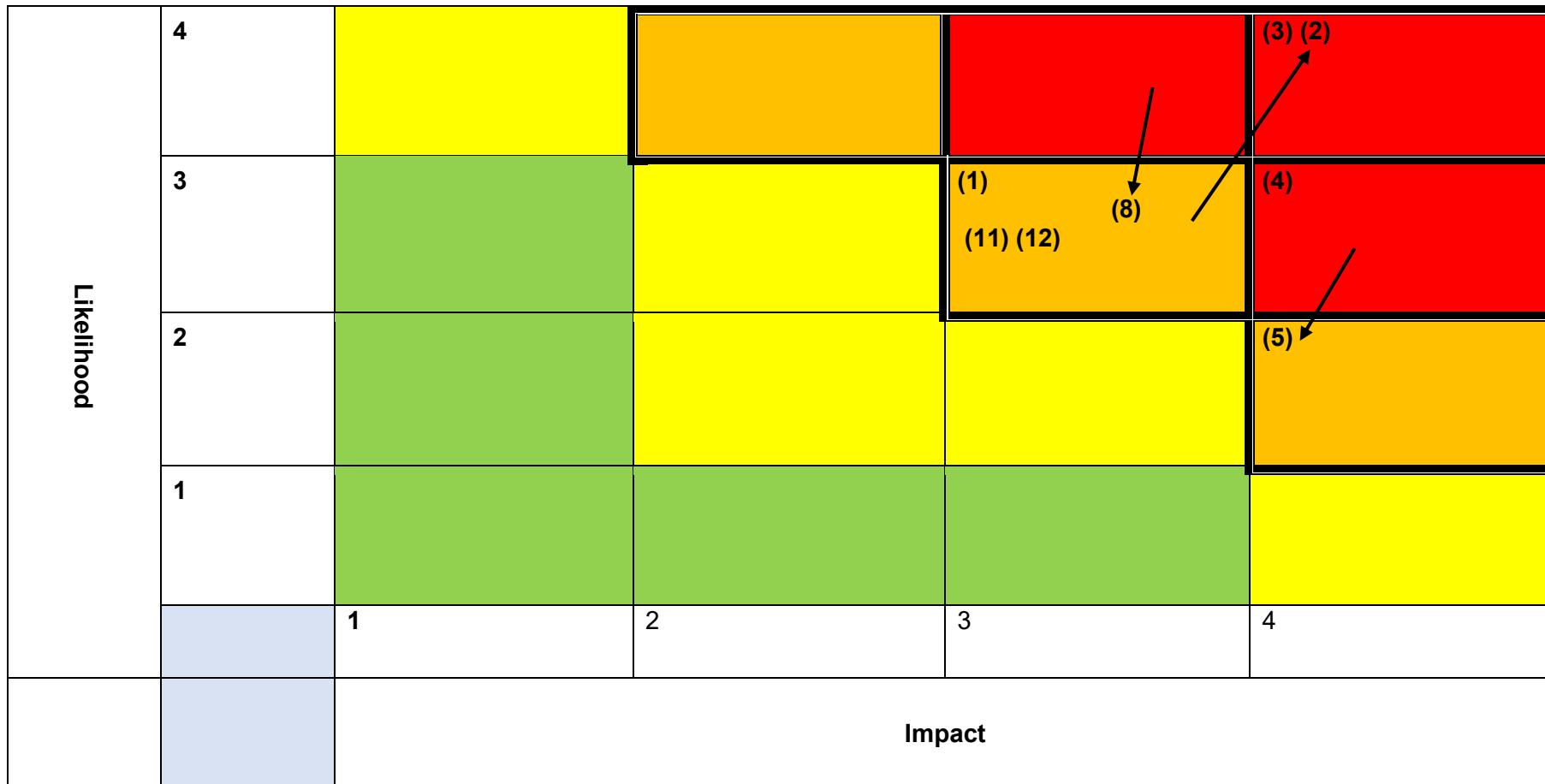
### Risk Matrix used within Corporate Risk Register

- 1 – 3 Low
- 4 – 7 Medium
- 8 – 11 – High
- 12 + Very High

<b>Likelihood</b>	4	Yellow	Orange	Red	Red
	3	Green	Yellow	Orange	Red
	2	Green	Yellow	Yellow	Orange
	1	Green	Green	Green	Yellow
		1	2	3	4
		<b>Impact</b>			

<b>Likelihood</b>		
<b>Rating</b>	<b>Score</b>	<b>Likelihood issue will occur</b>
Almost Certain	4	Almost certain within coming year
Likely	3	Very likely to occur in coming year
Possible	2	More likely not in the coming year
Unlikely	1	Potential, but not often
<b>Impact</b> (in hours (H)/days (D))		
<b>Rating</b>	<b>Score</b>	<b>Impact of Issue occurring</b>
Severe / Critical	4	Permanent/ long disruption (11+D)
Major	3	Medium-term disruption (3-10 D)
Moderate/ Significant	2	Short Term disruption (1 -3 D)
Minor	1	Day to day management (24 H)

## Residual Risk Changes (infographic denoting the movement of risk since January 2024)



## Corporate Risk Register (CRR)

Ref 1 Risk: Asset failure at the Boards pumping stations, of the Board maintained watercourses or the Boards plant and machinery

**Strategic Objective:** Provide, improve and maintain standards of sound, needs based sustainable land drainage, flood protection and water levels management.

**Risk Owner:** CEO, Director of Engineering and Director of Operations

Risk Consequence	Owner Assurance Commentary (mitigation)	Key Projects or notes on effectiveness
Failure of pumping stations – risk of flooding or land and properties	<ul style="list-style-type: none"> <li>• Regular maintenance and inspection checks completed and recorded.</li> <li>• Ongoing replacement of control panels, where possible Grant in Aid scheme (Capital) are used.</li> <li>• Weed screens provided at all Pumping Stations.</li> <li>• Some automated weed screen cleaners to keep pumps operating effectively.</li> <li>• Updated telemetry system in-place to provide enhanced monitoring and control of pumping stations.</li> <li>• Planned Maintenance/Statutory Inspection programme.</li> <li>• High voltage electricity supplies/KVA charges to ensure supply.</li> <li>• Positive history of limited major breakdowns/failures.</li> <li>• Regular checks by dedicated pump team, at all pumping facilities.</li> <li>• Purchase of additional mobile pumps and equipment to aid own response to flood events and reduce requests to partner agencies. A procedure for the prioritisation of mobile pumps deployment is in place to ensure the Boards' response is risk based and that Board assets are used with a proportionate and consistent approach.</li> <li>• Due to historical evidence, scientific concerns regarding climate change, as well as the reduced maintenance of main rivers, and any pre-existing damage to main river banks, there is an increased risk of flooding from main river.</li> </ul>	<ul style="list-style-type: none"> <li>• Pumps are lifted and maintained based on multiple factors to ensure they remain operational.</li> <li>• Capital programme to support refurbishment of Pumping Stations (See risk 4)</li> <li>• It is anticipated, that with weather changes, history of weather behaviour, with drier summers and milder wetter winters, there is a risk of storms affecting watercourses such as by potential for breach, overtopping and seepage leading to inundations of water from main river in to IDB pumping stations, or surrounding land.</li> <li>• With large volumes of water, such as in event of main river water entering IDB watercourses, whilst the IDB pumps, and mobile pumps are in place, there may be occasions whereby the pumps cannot move the volume of water or further water will continue to inundate land.</li> <li>• Ongoing pumping station repairs and refurbishments are completed. Where possible to do so, the Boards try to reduce the risk by raising electrical panels, etc, to protect assets.</li> <li>• Reduced response from partner agencies to deal with flood events due to resources and funding, however it is anticipated that the additional Board mobile pumps purchased will mitigate some of this to a degree.</li> </ul>

Overtopping, failure of bank structures	<ul style="list-style-type: none"> <li>There is a programme of annual maintenance to cover required works.</li> <li>UW/W1/W3 have direct workforce and plant.</li> <li>NEL contract works externally.</li> <li>All Board maintained embanked water courses are Annually inspected for damage to reduce the risk of breach.</li> <li>The Boards undertake main river works on behalf of the EA, via Public Service Cooperation Arrangement (PSCA).</li> <li>2025/26 there is a significant reduction in the amount of PSCA works awarded.</li> <li>Where deemed to critically affect Board watercourses, in 2025/26 some main river maintenance as gesture of goodwill will be completed and funded by the Boards. This is for 2025/26 only, due to the financial impact.</li> </ul>	<ul style="list-style-type: none"> <li>More regular flood events on Board maintained drains and with recent events of higher rainfall, drier summers and milder wetter winters, this is anticipated to remain a pattern for the future.</li> <li>Events where there is overtopping, seepage and or breaches of embanked watercourse (usually main river), the Boards watercourses, are not designed to take that additional water, therefore may themselves exceed their designed capacity.</li> <li>PSCA works are bid/quoted for on an annual basis.</li> <li>Impact of climate change and more frequent rainfall events are acknowledged.</li> <li>Where flooding occurs, members of the public are requested to report this to the Lead Local Flood Authority to ensure impact within the area can be recorded.</li> <li>To report directly via <a href="mailto:floodrisk@lincolnshire.gov.uk">floodrisk@lincolnshire.gov.uk</a> or via the app - 'FixMyStreet'.</li> </ul>
Capacity of drains reduced below design standard.	<ul style="list-style-type: none"> <li>The Board approve an annual maintenance of work programme in preparation for the forthcoming season.</li> <li>The Boards watercourses will receive such maintenance as required to keep them to the designed standard and capacities.</li> </ul>	<ul style="list-style-type: none"> <li>The Board undertakes works on behalf of the EA (PSCA) with additional works as requested by the EA, however, the amount of these works has been reduced due to financial constraints on the Environment Agency.</li> <li>The Boards have completed works which were successfully bid for within the allotted timescale.</li> </ul>
Failure of Board owned Plant and Machinery.	<ul style="list-style-type: none"> <li>Plant is serviced and maintained to keep operational.</li> <li>Plant &amp; Machinery Replacement Programme in place.</li> <li>New key plant is purchased with at least a fixed price service agreement.</li> <li>All items of plant and equipment are insured.</li> </ul>	<ul style="list-style-type: none"> <li>Ongoing prevention of vandalism on equipment and vehicles.</li> <li>Minimal breakdown, and plant downtime usually due to damage/ vandal damage.</li> </ul>

Inherent Risk Score (Before Controls)					Residual Risk Score (after mitigating controls)						
Likelihood	3	Impact	4	Total	12	Likelihood	3	Impact	3	Total	9
Trend:											

<p><b>Ref 2 Risk:</b> Risk of Operations failure due to lack of staffing or machinery resource, staff error or accident</p> <p><b>Strategic Objective:</b> Provide, improve and maintain standards of sound, needs based sustainable land drainage, flood protection and water levels management.</p> <p><b>Risk Owner:</b> CEO and Director of Operations</p>		
Risk Consequence	Owner Assurance Commentary (mitigation)	Key Projects or notes
Lack of staff resource	<ul style="list-style-type: none"> <li>Role clarity, training and development provided.</li> <li>Clear job roles, identification of training needs.</li> <li>Teams attend required courses and refreshers.</li> <li>Regular assessment of staffing training requirements.</li> <li>In an event – all staff support operations as required.</li> </ul>	<ul style="list-style-type: none"> <li>National challenges to recruitment.</li> <li>Generic advert for the Boards for Land Drainage Operatives.</li> <li>Ref 8 – specifically covers staffing.</li> </ul>
Lack of machinery resource.	<ul style="list-style-type: none"> <li>Plant and machinery replacement programmes are taken to the relevant Board Annually.</li> <li>When machinery is due for review, it's done on its own merit to determine if replacements or extensions are required - agreed with Board Members.</li> </ul>	<ul style="list-style-type: none"> <li>Minimal breakdown of plant.</li> <li>Most major plant on service packages.</li> </ul>
Changes to the taxation of crew cab pick-ups, leading to change in makeup of fleet vehicles (Cars and Vans)	<ul style="list-style-type: none"> <li>6 April 2025 onwards, Government changes for the tax treatment of double cab pick-ups were implemented.</li> <li>To ensure that appropriate vehicles are available for staff members to access watercourses, which are suitable during an event, and to go off-road without affecting taxation of our people or organisation required.</li> </ul>	<ul style="list-style-type: none"> <li>Full Fleet Review undertaken Autumn 2025 in line with the HMRC changes</li> <li>Some owned 4x4's will not be affected as already in situ.</li> <li>Fleet review completed with appropriate action taken to ensure the right vehicle is available at the right time without increasing tax implications.</li> </ul>
Volume of PSCA/rechargeable works requested.	<ul style="list-style-type: none"> <li>Additional requests for rechargeable work are assessed and evaluated prior to tender.</li> <li>WHDB continue to tender for the PSCA works</li> <li>Additional works may be requested from the EA under the PSCA agreements.</li> <li>PSCA works for 2026/27 not yet agreed – quotes usually requested by EA early January.</li> </ul>	<ul style="list-style-type: none"> <li>PSCA main river maintenance works have been reduced, meaning re-charge values are reduced.</li> <li>Where reduction/ removal of main river (PSCA) works has occurred, Board review of critical watercourses, and how this impacts Board drains has been undertaken.</li> <li>Where critical watercourses are not eligible to PSCA works, decisions have been made if with agreement, the Boards can and should complete the works without claiming re-charge. This is for 2025/26 only but has been to keep water flowing and protect as far as is possible critical watercourses.</li> </ul>

Failure of key supplier/contractor during contract	<ul style="list-style-type: none"> <li>Key suppliers/contractors are known, with regular communication maintained.</li> <li>Review of tender listed suppliers/contractors completed.</li> <li>Financial Regulations and good practise followed.</li> <li>Considerations for 'back up' plan in place.</li> <li>Local knowledge for contacts and aware of alternative suppliers if required.</li> </ul>	<ul style="list-style-type: none"> <li>Periodically quote or tender for works to assess potential suppliers to ensure market opportunities and options are reviewed.</li> <li>Establish financial stability of key partners before contracts signed.</li> <li>Awareness of alternative suppliers and gather market intelligence from contacts.</li> </ul>
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Inherent Risk Score (Before Controls)				Residual Risk Score (after mitigating controls)							
Likelihood	3	Impact	4	Total	12	Likelihood	4	Impact	4	Total	16
Trend:											



Ref 3 Risk: Impact on IDB assets due to additional water flows from Main Rivers, due to breaches, overtopping and excessive seepage.

**Strategic Objective:** Provide, improve and maintain standards of sound, needs based sustainable land drainage, flood protection and water levels management.

**Risk Owner:** CEO and Director of Operations

Risk Consequence	Owner Assurance Commentary (mitigation)	Key Projects or notes
Operational impacts due to loss of pumping stations	<ul style="list-style-type: none"> <li>PSCA works undertaken to complete some maintenance on the main rivers as requested by the EA.</li> <li>Annual bids and quotes are provided for PSCA works.</li> <li>Notify the EA of any potential issues when noted working in and round the main rivers e.g. slips.</li> </ul>	<ul style="list-style-type: none"> <li>FDGiA Capital schemes to include resilience measures e.g. raising MCC levels, replace with submersible pumps, raise transformers to better protect in wet weather event.</li> <li>IDB Fund (£91m) works where the Boards were successful are completed in the 31<sup>st</sup> March 2025 as required, with some projects being extended to March 2026 to ensure works can be completed.</li> <li>Loss of some PSCA works should the EA reduce the maintenance on main rivers (e.g. due to budget constraints).</li> <li>Where reduction/ removal of main river (PSCA) works has occurred, Board review of critical watercourses, and how this impacts upon Board drains has been undertaken.</li> <li>Where critical watercourses are not eligible to PSCA works, decisions have been made if with agreement, the Boards can and should complete the works without claiming re-charge. This is for 2025/26 only but has been to keep water flowing and protect as far as is possible critical watercourses.</li> </ul>
Operational impact due to staff requirements during wet weather event	<ul style="list-style-type: none"> <li>Additional requests for rechargeable work are assessed and evaluated prior to tender.</li> <li>WHDB continue to tender for the PSCA works</li> <li>Additional works may be requested from the EA under the PSCA agreements.</li> <li>Any PSCA works for 2026/27 not yet agreed.</li> </ul>	
Financial impact due to electricity charges for additional pumping		
Financial impact due to additional staffing costs	<ul style="list-style-type: none"> <li>Look at resilience measure for IDB Pumping Stations where feasible and cost effective.</li> </ul>	
Volume of PSCA/rechargeable works requested and potential increase of risk to main river not being maintained	<ul style="list-style-type: none"> <li>Loss of much PSCA works, for maintenance of the Main Rivers. Potential impact upon the IDB watercourses, as well as the watercourse themselves.</li> </ul>	

Inherent Risk Score (Before Controls)					Residual Risk Score (after mitigating controls)						
Likelihood	4	Impact	4	Total	16	Likelihood	4	Impact	4	Total	16
Trend:					→						

Ref 4 Risk: Reduction in income to the Board to maintain an appropriate provision of services (special levy, drainage rates income, highland water income, rechargeable works income & grants income).

**Strategic Objective:** Provide, improve and maintain standards of sound, needs based sustainable land drainage, flood protection and water levels management.

**Risk Owner:** CEO and Finance and Governance Director.

Risk Consequence	Owner Assurance Commentary (mitigation)	Key Projects or notes
Lack of financial resources.	<ul style="list-style-type: none"> <li>The Boards implement systems and processes to maximise income opportunities and collection to the individual Board.</li> <li>Significant inflationary increases, particularly provision of utilities/electricity since October 2022 continue to affect financial resource, particularly standing charges.</li> <li>Setting annual balanced budgets to address income shortfalls and increased expenditure.</li> <li>EA review of FDGIA funded schemes reducing capital programme of pumping station refurbishments but internally business cases will continue to be developed for when programme resources become available.</li> </ul>	<ul style="list-style-type: none"> <li>Annual setting of a balanced budget takes account of all known factors at the time, including land movements, known rechargeable works and additional cost pressures.</li> <li>2025-2026 collection of drainage rates progressing well.</li> <li>Boards' Capital refurbishment programmes continue to be bid for through FDGIA programme.</li> <li>Many IDB Fund schemes which were awarded are completed and where an extension to completion was provided, are on course to be completed by 31/03/2026.</li> <li>New RMS now fully implemented as a replacement system for former DRS for the administration of Drainage Rate collection.</li> </ul>
Highland Water receipts not received in full in future years.	<ul style="list-style-type: none"> <li>Ensure robust Highland Water claims are submitted.</li> <li>Highland water payments were made for year 2024/25.</li> </ul>	<ul style="list-style-type: none"> <li>There is Industry concern about whether Highland Water payments will continue to be paid in full with a national review being undertaken by the EA.</li> </ul>
Lack of funding for the PSCA works to compete maintenance on behalf of the Environment Agency.	<ul style="list-style-type: none"> <li>Due to financial restraints on the Environment Agency, this has had a knock-on effect to PSCA works on main rivers which has been awarded.</li> </ul>	<ul style="list-style-type: none"> <li>PSCA works are ongoing, and annual quotes provided. However, less works have been awarded in 2025/26.</li> <li>For 2025/26 the Boards have made a concession to complete some of the main river works which have not been awarded due to the critical nature of the works.</li> <li>Such works without recompense, cannot continue.</li> </ul>



Impact of the Local Government Reorganisation on the special levy payments provided to IDBs.	<ul style="list-style-type: none"><li>National Government have identified Local Government Reorganisation to be completed.</li><li>Whilst this is a Local Authority and County Council consideration, the impact of any onward reorganisation may impact the Boards.</li></ul>	<ul style="list-style-type: none"><li>IDB have special levies paid by Local Authorities as part of their funding. There is currently no knowledge of how LGR will affect the IDB special levies going forward.</li></ul>
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Inherent Risk Score (Before Controls)					Residual Risk Score (after mitigating controls)						
Likelihood	3	Impact	4	Total	12	Likelihood	3	Impact	4	Total	12
Trend:											
											

Ref 5 Risk: Delivery of IDB fund (£91m) projects by 31<sup>st</sup> March 2025 Deadline

**Strategic Objective:** Provide, improve and maintain standards of sound, needs based sustainable land drainage, flood protection and water levels management.

**Risk Owner:** CEO and Finance and Governance Director.

Risk Consequence	Owner Assurance Commentary (mitigation)	Key Projects or notes
Failure to complete the IDB Fund works by the end of March 2026 deadline requiring repayment/ non draw down of all grant monies awarded.	<ul style="list-style-type: none"> <li>Operational Team have assessed the works to be completed and determined which works can be completed by the Boards in house teams and which works should be undertaken by external contractor.</li> <li>Works plans set up, with contractors and timelines agreed.</li> <li>Engineering team working to provide schematics, drawing and supporting documents as required.</li> <li>Local contractors utilised where available to aid delivery.</li> <li>The contractors provide RAMs to the Operations Team and Risk Manager for review and comment prior to works.</li> <li>Ongoing monitoring undertaken with contractor works.</li> <li>Regular monitoring meetings re delivery.</li> <li>For some projects, an extension was granted to extended completion by 31, March 2026 to ensure sufficient time was provided to complete the works to a suitable standard.</li> </ul>	<ul style="list-style-type: none"> <li>Capital programme to support refurbishment of Pumping Stations (See risk 4)</li> <li>Monies have been received for some of the Capital Works projects. Failure to meet the deadlines may result in the monies being clawed back in part / full.</li> <li>Whilst it is challenging, it is expected that the IDB Fund works will be completed by 31/03/2026.</li> </ul>

Inherent Risk Score (Before Controls)					Residual Risk Score (after mitigating controls)						
Likelihood	4	Impact	4	Total	16	Likelihood	2	Impact	4	Total	8
<b>Trend:</b>											
→											

Ref 8 Risk: Issues with Staff Recruitment & Retention.

**Strategic Objective:** To provide a safe and fulfilling working environment for all staff.

**Risk Owner:** CEO, Associate Director of HR and all SMT

Risk Consequence	Owner Assurance Commentary (mitigation)	Key Projects or notes
Regular staff turnover	<ul style="list-style-type: none"> <li>Positive working standards.</li> <li>Open communications, policies and procedures in place and followed.</li> <li>ADA Lincolnshire Branch's Pay &amp; Conditions Committee work in place and followed.</li> <li>Recognised Union within the Boards.</li> <li>Pay rates are monitored to keep in line with other comparable roles where possible (not construction).</li> </ul>	<ul style="list-style-type: none"> <li>Generic advert for Land Drainage Operatives.</li> <li>Pay award 2026/27 agreed.</li> <li>Ongoing recruitment drives to attract applicants.</li> </ul>
Not able to recruit or retain competent and skilled workforce.	<ul style="list-style-type: none"> <li>Job descriptions and organisational accountabilities in place.</li> <li>Terms and conditions are defined and applied.</li> <li>LGPS Pension scheme.</li> <li>Various platforms are used to advertise job roles to reach multiple potential applicants.</li> </ul>	<ul style="list-style-type: none"> <li>Recruitment and retention is often influenced by external factors.</li> <li>It is more difficult to attract staff to the public sector mainly due to pay levels.</li> <li>Significant increase in media of Public Service Industrial Action due to pay.</li> <li>Concern over resilience due to small workforce.</li> <li>Operatives are able to undertake overtime for additional pay. Positive to increase wages, but not all operatives want to work additional/overtime hours so can affect applicants or those who interview.</li> </ul>

Inherent Risk Score (Before Controls)			Residual Risk Score (after mitigating controls)		
Likelihood	3	Impact	3	Total	9
<b>Trend:</b> 					

<p>Ref 11 Risk: Excessive Expenditure, beyond approved budgets, especially following wet weather events</p> <p><b>Strategic Objective:</b> To provide a cost effective, value for money organisation and maintain financial records that are correct and comply with recommended accounting practice.</p> <p><b>Risk Owner:</b> CEO and Finance and Governance Director</p>		
Risk Consequence	Owner Assurance Commentary (mitigation)	Key Projects or notes
Budgets overspent	<ul style="list-style-type: none"> <li>Budgets are set each January by the Boards.</li> <li>Actual income/expenditure against approved budgets is monitored.</li> <li>Reports and strategic direction overseen by Board Meetings, JSC meetings and relevant sub committees.</li> </ul>	<ul style="list-style-type: none"> <li>Budgets now more aligned to spend patterns.</li> <li>Balanced budgets can be achieved for 2026/27.</li> <li>IDB Fund schemes are being completed with the extension for completion by 31<sup>st</sup> March 2026. Where possible, 'betterment' considered.</li> </ul>
Unapproved expenditure by Officers	<ul style="list-style-type: none"> <li>Financial Regulations sets out limits for virement, etc.</li> <li>Where practicable, segregate duties of staff (e.g., authorising and processing invoices for payment and authorising invoice payments), within limitations of smaller public bodies.</li> <li>Schedule of expenditure submitted at each Board meeting for scrutiny.</li> <li>Internal Audit review a sample of transactions.</li> </ul>	<ul style="list-style-type: none"> <li>Minimal issues arise. Where exceptions have occurred (e.g., urgent items) these have been reported to the following Board meeting.</li> </ul>
Fraudulent use of credit/purchasing cards.	<ul style="list-style-type: none"> <li>Purchases by credit/purchasing cards are subject to requirements set out in Financial Regulations.</li> <li>Cards are limited to key officers and reconciled monthly.</li> </ul>	<ul style="list-style-type: none"> <li>No issues of fraudulent or inappropriate use identified.</li> </ul>
Increased costs due to government policy /tax, inflation, market changes & supply issues	<ul style="list-style-type: none"> <li>Inflationary cost pressures on public finances.</li> <li>Supply currently, remains consistent, however continue to horizon scan.</li> </ul>	<ul style="list-style-type: none"> <li>Electricity key budget issue from 2023 - 2024 onwards.</li> <li>More frequent rainfall events due to climate change/weather changes may impact upon future spend patterns.</li> </ul>
Board (all IDBs) lack of access to recovery funding to provide support during and or after events – potentially leading to downtime of assets.	<ul style="list-style-type: none"> <li>Bellwin schemes are available to Local Authorities to apply for, which are considered on an individual basis. Such applications may include IDB assets, but there is no guarantee of acceptance by Government.</li> <li>Bellwin schemes cover expenditure above 'standard work activities' during the response phase of an incident,</li> </ul>	<ul style="list-style-type: none"> <li>Local Government Reorganisation (LGR) may impact IDB funding, as Local Authorities pay special levies toward IDBs to ensure that the communities the Local Authority is responsible for, has well maintained watercourses to reduce the likelihood and impact of flooding.</li> </ul>

	<p>however do not include any recovery monies, which is where IDBs suffer the greatest losses e.g. inundated pumping stations requiring significant refurbishment works to ensure they remain operational/ return them to being operational.</p> <ul style="list-style-type: none"> <li>• Inundation of a watercourse has potential to damage, or completely render ineffective a pump. The cost of recovery for a pump can be large, but at this time there is no way to guarantee recovery of such monies.</li> </ul>	
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Inherent Risk Score (Before Controls)					Residual Risk Score (after mitigating controls)						
Likelihood	4	Impact	3	Total	12	Likelihood	3	Impact	3	Total	9
Trend: 											

Ref 12 Risk: Insurance costs rising and reductions in covers

**Strategic Objective:** To provide a cost effective, value for money organisation and maintain financial records that are correct and comply with recommended accounting practise.

**Risk Owner:** CEO and Finance and Governance Director

Risk Consequence	Owner Assurance Commentary (mitigation)	Key Projects or notes
High rises in premiums	<ul style="list-style-type: none"> <li>Full market appraisal and review completed July 2025.</li> <li>Brokers worked to assure best Policy outcomes and covers.</li> </ul>	<ul style="list-style-type: none"> <li>Potential c10% premium increase overall, however this won't be confirmed until full review completed.</li> </ul>
Assets not insured to the same level as previous years	<ul style="list-style-type: none"> <li>Review and update of all assets held.</li> <li>Review of all insurances and policy covers</li> </ul>	
Inability to defend Road Traffic Claims due to lack of proof of the incident.	<ul style="list-style-type: none"> <li>Fleet insurance in place and insurance company defend claims based on statements and evidence.</li> </ul>	<ul style="list-style-type: none"> <li>Consideration to be applied for installation of dash-cams on vehicles to facilitate denial or liability where appropriate.</li> </ul>

Inherent Risk Score (Before Controls)				Residual Risk Score (after mitigating controls)			
Likelihood	4	Impact	3	Total	12	Likelihood	3
<b>Trend:</b>				→			

## Document Control

Title	Witham and Humber Drainage Board Corporate Risk Register
Persons Responsible	Risk Manager
Date Last updated and reviewed Boards	January 2025
Date Last updated internally	September 2025
Date of current review	December 2025
Location	Public Document on Internet (Internally – SharePoint)
Date of next Review	December 2026

## Revision Record

Summary of changes	Date of Review
<ul style="list-style-type: none"> <li>Full review and update of CRR. Shared with Senior Management within the Board, prior to full review with Boards.</li> <li>Ref 2 – update of fleet (car and vans) with the changes occurring to the types of vehicles and taxation on vehicles and the PSCA work changes.</li> <li>Ref 3 – updated with the PSCA works changes.</li> <li>Ref 10 – updated and removed as the project has been completed and is not low risk and day-to-day management.</li> </ul>	December 2025
General Review of the Corporate risk register – minor considerations and rewording e.g. progress with the Ratings Systems and to ensure that the Ref were as up to date as possible. Minor changes by Risk Manager.	September 2025
<ul style="list-style-type: none"> <li>Reviewed and updated.</li> <li>NEW RISK – Strategic Objective 1 – Ref 5 regarding the risk of funding and the risk should payments of grants not be made.</li> <li>NEW RISK – Strategic Objective 4 – Ref 12 regarding insurance rises and the risk of implications.</li> </ul>	December 2024 / January 2025
Review of CRR <ul style="list-style-type: none"> <li>Minor wording updates, to reflect where bids were successful, and where projects have progressed.</li> <li>Addition for the bids made for Capital programmes and Recovery and Resilience projects.</li> </ul> Where previously trends increased or decreased – acknowledgement they have stabilised.	August 2024
Review of Corporate Risk Register <ul style="list-style-type: none"> <li>Review following Storm Henk and further disruption due to main river breaches, overtopping and significant seeping affected the IDB watercourses, and impacting upon costs and asset.</li> <li>New Risk 3 – added to the CRR</li> </ul>	January 2024

Review of Corporate Risk Register. • Risk 10 added to consider implications of DRS. • General updates to reflect change of season/ year's forecast etc, however no significant implications to context. • Updates to reflect Storm Babet (October 2023) and considerations and risks identified during and following.	November 2023
Review of Corporate Risk Register. Removal of low/medium risk from the CRR. Review and update of the existing CRR, with minor wording changes for changing into new financial year, and acknowledgement of the publicity round public sector pay and the industrial action within other public sector organisations. No additional Risk Ref added. Ref 4 – trend amended to 'stable'	April 2023
Review of Corporate Risk Register, by Risk Manager - new post holder. • New lay out of document with pre/post control and mitigation risk. • Residual (following mitigating controls) determine the necessity of upholding within the risk on the CRR. • low/medium risk removed from CRR, as managed as 'business as usual' by Senior Managers and Teams. • Role/Directorate responsible for objective added. • Summary table of the Risks (new format – previous numbering referenced for traceability) • Document/Version control added.	December 2022
Review and update of CRR – Director of Finance Added 4.3.4 was added to CRR (now Ref 11 "inadequate insurance cover to cover losses due to insurance covers available or cost of adequate insurance" Added 4.4.3 (now Ref 12) "Inadequate insurance cover to cover losses due to insurance covers available or cost of adequate insurance"	November 2021
Review and update of CRR – Director of Finance	January 2021
Review and update of CRR – Director of Finance	September 2020
Review and update of CRR – Director of Finance	January 2020
Review and update of CRR with amendment to format – Director of Finance	September 2019
Review and update of CRR – Director of Finance	December 2018
Review and update of CRR – Director of Finance	May 2018
Initial document located on current system	January 2018